

Customer declaration
for the purpose of due diligence according to Legislative Decree no. 231/2007 as amended

The undersigned _____ (Name and Surname)

Tax Code _____

Place and Date of Birth _____ () _____

with residence in _____ (City and Province)

Address and street number _____

Nationality _____

 ID Document _____ (**please attach Identity card or Passport**)

Mail Address (if different from residence address) _____

In order to consent Farsettiarte to comply with due diligence according to art. 22 of the Legislative Decree no. 231/2007 as amended (*Customer obligation regarding the prevention and fight against money laundering and terrorist financing*), under his responsibility

DECLARES

CUSTOMER AND BENEFICIAL OWNER	
<input type="checkbox"/>	To act in the name and on behalf of himself
<input type="checkbox"/>	To act in the name and on behalf of the following Beneficial Owners ¹ (*) of the Transaction 1) Name and Surname _____ Tax Code _____ Place and Date of Birth _____ () _____ with residence in _____ (City and Province) Address and street number _____ Nationality _____ ID Document _____ (please attach Identity card or Passport) Mail address (if different from residence address) _____ (*) <i>in case of more beneficial owners, please indicate below each of them</i> 2) Name and Surname _____ Tax Code _____ Place and Date of Birth _____ () _____ with residence in _____ (City and Province) Address and street number _____ Nationality _____ ID Document _____ (please attach Identity card or Passport) Mail address (if different from residence address) _____ 3) Name and Surname _____ Tax Code _____ Place and Date of Birth _____ () _____

¹ For the definition of Ultimate Beneficial Owner, please see the Annex1.

	with residence in _____ (City and Province) Address and street number _____ Nationality _____ ID Document _____ (please attach Identity card or Passport) Mail address (if different from residence address) _____
<input type="checkbox"/>	That the nature of the relationship between the Customer and the Ultimate Beneficial Owner is: (please specify _____)

POLITICAL EXPOSED PERSON - PEP	
<input type="checkbox"/>	The Customer isn't a political exposed person – PEP ² (national or foreign)
<input type="checkbox"/>	The Customer is a political exposed person - PEP (national or foreign) (please specify the public office or the name and the relationship with the PEP in case of family members or individuals who have a close relationship with a PEP) _____
<input type="checkbox"/>	The Ultimate Beneficial Owner isn't a political exposed person - PEP (national or foreign)
<input type="checkbox"/>	The Ultimate Beneficial Owner is a political exposed person - PEP (please specify the public office or the name and the relationship with the PEP in case of family members or individuals who have a close relationship with a PEP) _____

INFORMATION ON BUSINESS CUSTOMER	
<input type="checkbox"/>	That the profession /business activity of the Customer is: <ul style="list-style-type: none"> ○ individual entrepreneur / sole proprietor ○ Employee of a Public Administration ○ Freelance / Self employed ○ Craftsman ○ Merchant ○ Housewife ○ Unemployed ○ Student ○ Retired ○ Other (Please specify _____)
<input type="checkbox"/>	That the area of the business activity of the Customer is: <ul style="list-style-type: none"> ○ Agriculture, Forestry and Fishing ○ Mining ○ Manufacturing ○ Energy, Gas, Vapour, Air Conditioning Supply ○ Trade, Repair of motor vehicles and motorcycles ○ Building /Construction ○ Tenders ○ Transport and Storage ○ Financial and insurance business ○ Professional, Scientific and Technical Activities ○ Public Administration and Defence ○ Education ○ Health ○ Welfare services ○ Art, Sport, Entertainment ○ Works of art ○ Precious metals ○ Games and Bets ○ Waste collection and disposal ○ Other (Please specify: _____)

² For the definition of Political Exposed Person, please see the Annex 1.

<input type="checkbox"/>	practised / exercised since (<i>please indicate the year</i>) _____
<input type="checkbox"/>	Geographical area where the business is conducted (<i>please specify Country, City and Province</i>) _____

NATURE AND PURPOSE OF THE RELATIONSHIP	
<input type="checkbox"/>	That the nature of the relationship / type of transaction is <ul style="list-style-type: none"> ○ Mandate to sell goods ○ Sale of goods (in auction or private negotiation) ○ Consultancy ○ Estimation ○ Other (<i>Please specify:</i> _____)
<input type="checkbox"/>	That the purpose of the relationship / transaction is <ul style="list-style-type: none"> ○ Personal Investment ○ Corporate and business investment ○ Movable /Real estate disinvestment ○ Other (<i>Please specify:</i> _____)

DECLARES ALSO

- that all the information provided in this form are correct, completed and updated
- to assume all civil, criminal and administrative responsibility for untrue statements, aware of the criminal responsibilities provided for in art. 55 of the Legislative Decree 231/2007 for those who provide false data or untruthful information;
- to be informed of the fact that failure to release all or part of the information contained in this Form affects the auction house's ability to execute the requested service / operation
- to have examined and understood the definitions of the Legislative Decree 231/2007 which are attached to this Form

- **UNDERTAKES**

to communicate without delay any possible integration or variation of the data indicated above

The undersigned acknowledges and accepts that his personal data will be processed by Farsettiarte exclusively for the purposes set out in the Legislative Decree 231/2007 in fulfillment of the obligations established by EU Regulation 678/206 and by Legislative Decree 196/03 for the protection of personal data.

Place and data _____

Signature

Signature of the subject who makes the identification

ANNEX 1 (Attached to Customer statement)

CUSTOMER: the subject who has on-going relationship, carries out Transactions or requests or obtain professional services from the auction house by virtue of an engagement.

D. Lgs n. 231/07: Italian Legislative Decree no. 231/2007 concerning the prevention of the use of the financial system for the purpose of money laundering and financing of terrorism

EXECUTOR: the person delegated to act in the name and on behalf of the Customer or to whom representation powers enabling him to act in the name and on behalf of the Customer are granted.

FINANCING OF TERRORISM: means any activity directed to the supply, collection, financing, intermediation, deposit, custody or disbursement in any way realized, of funds or economic resources, directly or indirectly, in whole or in part, usable for the carrying out, with the purpose of terrorism, in compliance with the provisions of criminal law.

MEANS OF PAYMENT: cash, bank and postal checks, cashier's checks and other comparable checks, postal orders, credit or payment orders, credit cards and other payment cards, transferable insurance policies, pledge policies and any other instrument that allows the transfer, movement or acquisition, even electronically, of funds, securities or financial assets.

POLITICAL EXPOSED PERSON (PEP): the natural persons who hold or have ended to hold for less than one-year prominent public functions (such as President of the Italian Republic, Prime Minister, ministers, deputy ministers and undersecretaries, Heads of Regions, regional councillor, mayor of province's capital or metropolitan cities, mayors of towns with population equal or greater than 15K inhabitants and similar position in foreign states) as well as family members (such as parents, spouse or a person considered to be equivalent to a spouse) or the persons with whom the politically exposed persons are known to have close relationships (close business relations, common ownership of companies, trusts)

PROFESSIONAL SERVICE: indicates an intellectual or commercial service rendered by the auction house in favor of the Customer, such as mandates to sell, appraisals, etc.

MONEY LAUNDERING: pursuant to art. 2 paragraphs 4 and 5 of Legislative Decree no. 231/07 means a) the conversion or transfer of assets carried out knowing that they come from a criminal activity or from participation in such activity, in order to conceal the illicit origin of the assets themselves or to help anyone is involved in this activity to avoid the legal consequences of his actions; b) the concealment of the real nature, origin, location, movement, ownership of the goods or of the rights thereon, carried out knowing that such goods come from a criminal activity or from a participation in such activity; c) the purchase, possession or use of assets being aware at the time of their receipt that such assets come from a criminal activity or from a participation in such activity; d) participation in one of the acts referred to in letters a, b and c, the association to commit this act, the attempt to perpetrate it, or to help, to instigate or advise

BENEFICIAL OWNER: indicates the natural person or individuals, other than the Customer, in the interest of whom / s ultimately the Professional Service is rendered, or the Operation is performed.